

# Spatial confinement induces hairpins in nicked circular DNA

Aleksandre Japaridze<sup>1</sup>, Enzo Orlandini<sup>2</sup>, Kathleen Beth Smith<sup>1</sup>, Lucas Gmür<sup>1</sup>,  
Francesco Valle<sup>3</sup>, Cristian Micheletti<sup>4</sup> and Giovanni Dietler<sup>1,\*</sup>

<sup>1</sup>Laboratory of Physics of Living Matter, EPFL, 1015 Lausanne, Switzerland, <sup>2</sup>Dipartimento di Fisica e Astronomia and Sezione INFN, Università di Padova, Via Marzolo 8, 35131 Padova, Italy, <sup>3</sup>Consiglio Nazionale delle Ricerche (CNR), Istituto per lo Studio dei Materiali Nanostrutturati (ISMN), Via P.Gobetti 101, Bologna 40129, Italy and <sup>4</sup>SISSA - Scuola Internazionale Superiore di Studi Avanzati and CNR-IOM Democritos, Via Bonomea 265, 34136 Trieste, Italy

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## ABSTRACT

In living cells, DNA is highly confined in space with the help of condensing agents, DNA binding proteins and high levels of supercoiling. Due to challenges associated with experimentally studying DNA under confinement, little is known about the impact of spatial confinement on the local structure of the DNA. Here, we have used well characterized slits of different sizes to collect high resolution atomic force microscopy images of confined circular DNA with the aim of assessing the impact of the spatial confinement on global and local conformational properties of DNA. Our findings, supported by numerical simulations, indicate that confinement imposes a large mechanical stress on the DNA as evidenced by a pronounced anisotropy and tangent–tangent correlation function with respect to non-constrained DNA. For the strongest confinement we observed nanometer sized hairpins and interwound structures associated with the nicked sites in the DNA sequence. Based on these findings, we propose that spatial DNA confinement *in vivo* can promote the formation of localized defects at mechanically weak sites that could be co-opted for biological regulatory functions.

## INTRODUCTION

Characterizing the properties of DNA in spatial confinement is one of the open challenges both for theory and experiment (1–12). The increasing interest for such problem arises because of its relevance for all living organisms, whose genetic material is always subject to a high degree of compaction (13,14) as well as its technological

implications based on nanoslits (15–18), nanopores (19–23) and nanochannels (4,6,10,11,18,20). These confining devices open new perspectives for harnessing the physical behaviour of confined DNA in various setups (e.g. microfluidics) for sorting molecules according to their length (24), topology (5,22,23) and even for barcoding profiling (25,26).

One standing challenge is how to measure in detail the effects of spatial confinement on DNA properties. Almost all available probing techniques are based on optical measurements, where one can access only overall and low resolution properties of molecules (1–6,27). Here, we present a novel experimental method for studying DNA with nanometer resolution under quasi-1D confinement that is not based on optical measurements. We combined the capability of atomic force microscopy (AFM) to provide high-resolution images with a microfluidics device of a very well defined geometry and dimension (Figure 1). We studied  $\mu$ m-long DNA rings from 2.7 up to 8.4 kb and adsorbed them inside an array of rectangular slits of various sizes resting on a mica surface.

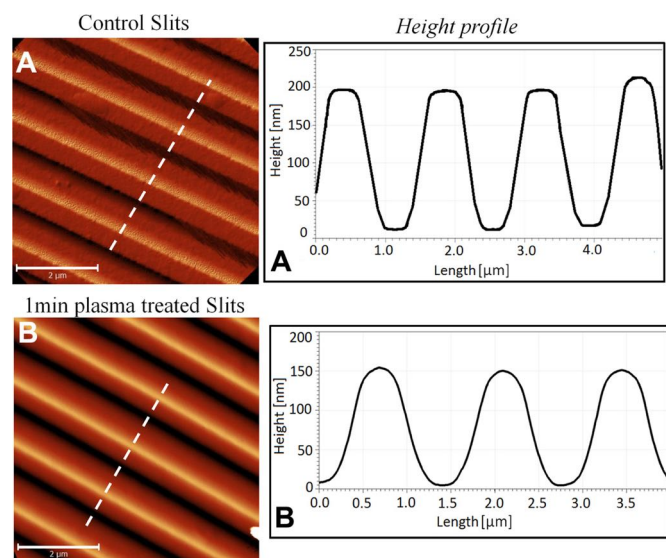
Here, to characterize the conformational properties of DNA under confinement, we profile various metric properties, including the complete tangent–tangent correlation function and the chain asphericity, a parameter describing how close the DNA molecule is to an ideal circle. The measurements for DNA inside large slits, which we complement by numerical simulations as well, closely follow the behavior expected theoretically for equivalent model DNA chains in equilibrium on an attractive surface in the presence of mild lateral confinement (2D case)(28). This clarifies that the considered experimental setup offers a practical means of realizing quasi-1D slit confinement in equilibrium and can hence be exploited for practical purposes. For molecules translocated inside narrower slits, instead, we find that the agreement with theoretical expectations for 2D conforma-

\*To whom correspondence should be addressed. Tel: +41 21 693 04 46; Fax: +41 21 693 04 22; Email: giovanni.dietler@epfl.ch

Present addresses:

Aleksandre Japaridze, Department of Bionanoscience, Kavli Institute of Nanoscience, Delft University of Technology, Van der Maasweg 9, 2629 HZ Delft, The Netherlands.

Kathleen Smith, Food and Soft Materials Science, Institute of Food, Nutrition and Health, ETH Zurich, Schmelzbergstrasse 9, CH-8092 Zurich, Switzerland.



**Figure 1.** AFM phase image of (A) untreated small slits and (B) small slits treated with 1 min of plasma stream. (A and B) Height cross-section along the white dotted lines on (A) and (B) respectively. 2  $\mu\text{m}$  scale bar.

tion is systematically offset. In fact, DNA has a larger than expected asphericity and the tangent-tangent correlation function has a more pronounced anti-correlation at half the total length of the circular DNA. Moreover, the detailed analysis of the conformations reveals that these deviations are in part due to the occurrence of relatively extended defects in the form of hairpins and interwound segments at the nicked sites, which involve up to 15% of the total DNA length. These structural defects were only observed for confined nicked DNA and not for controls, e.g. nicked DNA outside the slits or within giant slits, and non-nicked molecules trapped inside small slits.

Detection and correct repair of single stranded breaks in the genome is crucially important for the genome stability (29,30), therefore we propose that confinement helps expose these mechanically weak sites in the DNA sequence by forming hairpins and interwound regions, which themselves act as structural markers for different biological processes (29,31–33). In addition, we surmise that these confinement-induced hairpin defects at nicked sites could also have direct bearings on the *in vivo* processing of the genome, such as blocking or hindering transcription machinery, therefore affecting gene expression and regulation.

## MATERIALS AND METHODS

### Circular DNA

2.7 kb (pUC19 2686 bp); 4.4 kb (pBR322 4361 bp); 5.4 kb (F<sub>x</sub>174 5386 bp) supercoiled plasmid DNA were purchased from Fermentas (Switzerland). 8.4 kb DNA (pET-28a His6/mTet3, 8381 bp) was kindly provided by Dr T. Jurkowski. All plasmids were nicked using Nt.BstNBI nicking enzyme (NEB) and further extracted from 1.0% agarose gel and purified using extraction kit from Promega. DNA was then placed in TE buffer composed of 10 mM Tris and 1 mM EDTA solution.

For experiments performed with non-nicked DNA, nicked plasmids were first incubated with T4 ligase (NEB) for 2 h at room temperature in Ligase reaction buffer (NEB). Then ligation was stopped through passing the DNA mix through an extraction column (Promega). The circularity of molecules was chosen on purpose since all forms of prokaryote organisms contain DNA in circular, rather than its linear form (34,35).

### Sample preparation

A 10  $\mu\text{l}$  aliquot containing DNA (typical concentration 0.5–1.0 ng/ $\mu\text{l}$ ) and 1.5 mM  $\text{MgCl}_2$  final concentration was deposited on one side of the slits on a freshly cleaved mica surface. The sample was then incubated in a warm moist environment for 30 min, letting the DNA diffuse into the slits. The long incubation time was chosen in order to let the molecules relax and adjust inside the slits, thus minimizing the effect of the flow on the DNA conformation. Afterward, the slits were gently removed, the surface gently rinsed twice with 1 ml Milli-Q water (18.2 M $\Omega\cdot\text{cm}$  resistivity) and then blow-dried.

### AFM

AFM images were collected using a MultiMode SPM with a Nanoscope III controller (Veeco Instruments, Santa Barbara, CA, USA) operated in tapping-mode in air. The AFM cantilevers used in air had a spring constant of 5 N $\cdot\text{m}^{-1}$  (Veeco cantilevers, TAP150A) with resonance frequencies ranging between 120 and 160 kHz. All the recorded AFM images consist of 512  $\times$  512 pixels with scan frequency  $\leq 1$  Hz. Images were simply flattened using the Gwyddion software (36) (Version 2.25) and no further image processing was carried out.

### Master fabrication

The masters used in the present work were fabricated following two different procedures according to the lateral size of the features. The large slits master was fabricated by contact photolithography with a Mask Aligner Karl Suss MJB40 ( $l = 365$  nm). High contrast masks were obtained by engraving a thin aluminium layer using an IR-laser printer (ScribaR, Scriba Nanotechnologie srl.). The exposure interval was adjusted to match the contrast of the mask. Negative photoresist SU8 (MicroChem Corp, USA), was spin coated at 2000 RPM on flat polycarbonate substrate (surface roughness < 10 nm), resulting in a film of 5  $\mu\text{m}$  thickness. After alignment and exposure, the master was dipped in the resist developer for 1 min to develop it and postbaked at 150°C for 30 min to enhance crosslinking of photoresist. During postbaking, the section of the slits becomes round shaped. This curvature improves the peel-off of the mold in replica molding. The patterned areas were always in the range of 5 mm  $\times$  5 mm.

The small slits master, consisting of an array of parallel slits whose width and height are 1  $\mu\text{m}$  and 230  $\pm$  15 nm, respectively, and a 1.4  $\mu\text{m}$  pitch, was obtained from the polycarbonate portion of a commercially available CD-Rom. The metallic part was peeled off using scotch tape and

**Table 1.** Slit dimensions measured by AFM

Type	Slits after 1 min plasma treatment	
	Small slits	Giant slits
Height	140 ± 20 nm	1.5 ± 0.05 μm
Width	600 ± 20 nm	10 ± 0.05 μm
Separation	750 ± 20 nm	15 ± 0.05 μm

the underlying polycarbonate was rinsed with ethanol to remove the chemicals present on its surface.

### Replica molding

The microfluidics devices used to laterally confine the DNA molecules during the deposition process were fabricated by replica molding of the suitably realized masters. Polydimethylsiloxane (Sylgard 184) and its curing agent were mixed in ratio 10:1, degassed and poured on top of the polycarbonate/SU8 master. Curing was performed at 80°C for 8 h, in a standard thermostatic oven. After peel-off, the PDMS replicas were rinsed and stored in deionized water.

### PDMS slits

In order to clean the PDMS slits and make the surface hydrophilic, before every experiment all slits were treated with a low intensity plasma stream (Harrick basis plasma cleaner) at 500 m Tor. Duration and the intensity of the plasma stream strongly changed the initial height of the slits, therefore we kept the plasma exposure to 1 min (Figure 1 and Supplementary Figure S1). Straight after plasma treatment the slits were placed and gently pressed with a Teflon clamp on a freshly cleaved mica surface.

The PDMS slit dimensions (measured by AFM) are given in Table 1.

### The effective persistence length

We measured the persistence length  $l_p$  of DNA using the bond correlation function for polymers in 2D (since the DNA adsorbed on the mica surface adopts a 2D conformation):

$$\langle \cos(\theta) \rangle = e^{-s/(2l_p)} \quad (1)$$

where  $\theta$  is the angle between the tangent vectors to the chain at two points at arc length distance  $s$  and  $l_p$  is the persistence length (37). Since the persistence length of DNA was obtained by treating the rings as if they were free of defects and their mechanical properties were uniform, we denote it as the effective persistence length.

### Asphericity

Typical shape measurements for circular DNA included the asphericity  $A_2$ , defined as the combination of the small ( $R_{g1}$ ) and large ( $R_{g2}$ ) principal axes of the radius of gyration tensor  $R_g^2$  (38).

$$A_2 = \left\langle \frac{(R_{g1}^2 - R_{g2}^2)^2}{(R_{g1}^2 + R_{g2}^2)^2} \right\rangle \quad (2)$$

The circular DNA can be fitted with an ellipse and the major  $a$  and minor  $b$  axes lengths are given by

$$a = 2\sqrt{2R_{g2}^2}, \quad b = 2\sqrt{2R_{g1}^2}$$

which are quantities representing more explicitly the extent of the circular DNA.

### DNA Analysis software and procedures

The contour length, the radius of gyration and the bond-bond correlation function were calculated by tracing DNA molecules using the ‘DNA Trace’ analysis software that has been described elsewhere (39).

### Computational methods: The model

Circular DNA molecules confined within slits, described by two impenetrable walls placed respectively at  $z = 0$  (bottom) and  $z = H$  (top), are modelled as semiflexible self-avoiding rings of  $N$  spherical beads of diameter  $\sigma$ . To take into account the connectivity, self-avoidance and bending energy of the chain we consider respectively the three potential energies  $U_{FENE}$ ,  $U_{LJ}$ ,  $U_{bend}$  whose explicit parametrization are given by

$$U_{FENE} = - \sum_i^{N-1} 15\epsilon \left(\frac{R_0}{\sigma}\right)^2 \ln \left[ 1 - \left(\frac{d_{i,i+1}}{R_0}\right)^2 \right]$$

$$U_{bend} = \sum_{i=2}^{N-1} \epsilon \left(\frac{l_p}{\sigma}\right) \left( 1 - \frac{\vec{b}_{i-1} \cdot \vec{b}_i}{|\vec{b}_{i-1}| |\vec{b}_i|} \right)$$

$$U_{LJ} = \sum_{i,j>i}^N 4\epsilon \left[ \left(\frac{\sigma}{d_{i,j}}\right)^{12} - \left(\frac{\sigma}{d_{i,j}}\right)^6 + \frac{1}{4} \right] \cdot \theta(2^{1/6}\sigma - d_{i,j})$$

where  $\vec{b}_i \equiv \vec{r}_{i+1} - \vec{r}_i$  is the  $i$ th chain bond,  $d_{i,j} = |\vec{r}_i - \vec{r}_j|$  is the distance of the bead centers  $i$  and  $j$ ,  $R_0 = 1.5\sigma$  is the maximum bond length and  $\epsilon$ , the characteristic unit of energy of the system is set equal to the thermal energy,  $k_B T$ . Finally,  $\theta$  is the Heaviside function. Note that, since we are considering nicked DNA molecules, whose twist energy can relax along the chain, we do not take into account a twist potential energy into the model. In order to enforce the steric hindrance with the top wall of the slit placed at height  $H$ , we add the shifted Lennard–Jones (hence purely repulsive) potential energy

$$U_{wall}^{top} = \sum_i^N 4\epsilon \left[ \left(\frac{\sigma}{\delta_i}\right)^{12} - \left(\frac{\sigma}{\delta_i}\right)^6 + \frac{1}{4} \right] \cdot \theta(2^{1/6}\sigma - \delta_i)$$

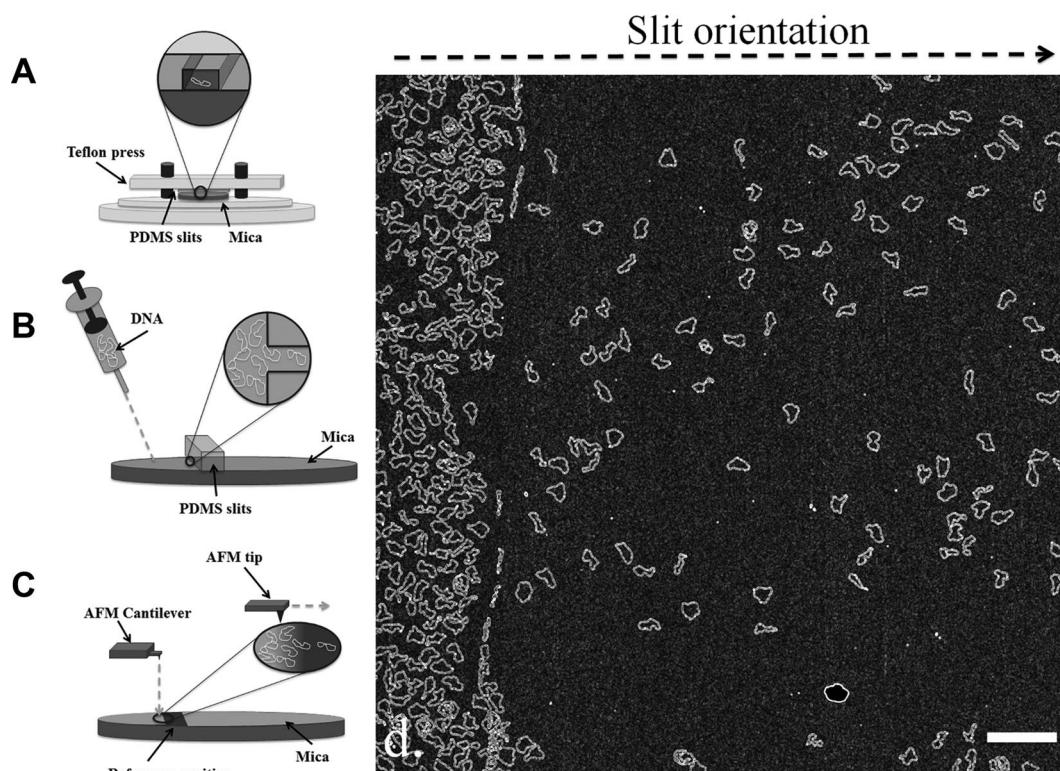
where  $\delta_i \equiv \frac{\sigma}{2} - \Delta_i$  and  $\Delta_i$  is the distance of the  $i$ -th bead from the top wall. Finally, to mimic attraction on the bottom wall we add a full Lennard–Jones potential (i.e. repulsive at very short distance and attractive at long distances)

$$U_{wall}^{bottom} = \sum_i^N 4\epsilon_a \left[ \left(\frac{\sigma}{r_i}\right)^{12} - \left(\frac{\sigma}{r_i}\right)^6 \right] \cdot \theta(1.5\sigma - r_i)$$

where  $r_i$  is the distance of the  $i$ -th bead from the bottom wall. The wall-particle potential is shifted so that it is 0.0 at the cutoff distance.

The system is parametrized after DNA (assuming com-





**Figure 2.** Different steps of sample preparation. Schematic representation of: (A) the Teflon clamp where the plasma treated slits are gently pressed on the surface of the mica, (B) the sample deposition and the zoomed image showing the translocation of circular DNA inside the slits, (C) an AFM tip scanning the mica surface, (D) a typical AFM image showing circular 2.7 kb DNA migrating into giant slits. The orientation of the slit is indicated by the dashed arrow. Scale bar: 1  $\mu\text{m}$ .

plete screening of its electrostatic charge as appropriate for solutions with sufficiently high ionic strength and neglecting hydrodynamic interactions) and hence set  $\sigma = 5.0$  nm, with either  $l_p = 50$  nm or  $l_p = 60$  nm. The system dynamics is described within a Langevin scheme:

$$m\ddot{\mathbf{r}}_i = -\gamma\dot{\mathbf{r}}_i - \nabla U + \vec{\eta}$$

where  $\gamma$  is the friction coefficient and  $\eta_{sol}$  is the stochastic delta-correlated noise. The variance of each Cartesian component of the noise,  $\sigma_\eta^2$  satisfies the usual fluctuation dissipation relationship  $\sigma_\eta^2 = 2\gamma k_B T$

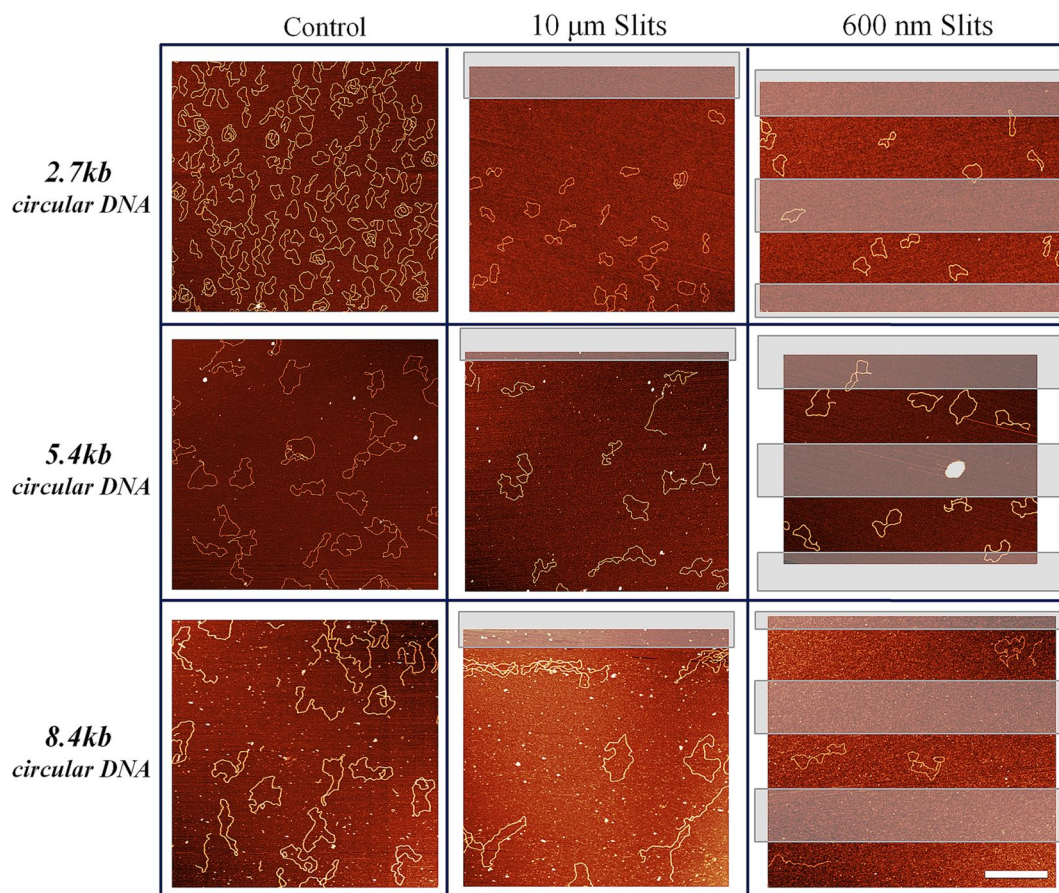
As customary, we set  $m/\gamma = 2\tau_{LJ}$ , with  $\tau_{LJ} = \sigma\sqrt{m/\varepsilon} = \sigma\sqrt{m/k_B T}$  being the characteristic simulation time. From the Stokes friction coefficient of spherical beads of diameters  $\sigma$  we have:  $\gamma = 3\pi\eta_{sol}\sigma$  where  $\eta$  is the solution viscosity. By using the nominal water viscosity,  $\eta_{sol} = 1\text{ cP}$  at all slit widths and setting  $T = 300$  K and  $\sigma = 5.0$  nm, one has  $\tau_{LJ} = 6\pi\eta_{sol}\sigma^3/\varepsilon \sim 600\text{ ns}$ .

The Langevin equation is integrated numerically with the LAMMPS simulation package with an integration time step of  $0.01\tau_{LJ} \sim 0.8\text{ ns}$ . The starting point of the various simulations is a perfect circular configuration lying on the bottom wall. To allow the equilibration of the system from this initial state, the first  $2 \times 10^6$  integration time steps are disregarded from the analysis of any observables. All relevant observables are calculated on hundreds of snapshots of the systems taken every  $10^5$  integration time steps.

## RESULTS

The main goal of the presented experiments is to address the effect of confinement on single DNA molecules of different lengths using slits of various widths. For this purpose, we used two types of slit widths, i.e.,  $\sim 600$  nm or  $\sim 10$   $\mu\text{m}$  (Table 1). We can expect a circular DNA molecule to be affected by slit confinement when the average length of its major axis of inertia in free space (2D in our case) is comparable to the slit width (major axis  $a \sim$  slit width). Accordingly, because the average major axis of the longest, 8.4 kb-long, DNA filament considered here is  $a_{8.4\text{ kb}} = 610$  nm, we can expect that the ‘giant’ slit that is 10  $\mu\text{m}$ -wide should not affect its properties. The giant slit will therefore be used as a control to exclude experimental artifacts (experimental steps such as capillary drag, removal of slits, rinsing and drying).

The sample preparation followed the scheme depicted on Figure 2, left panel and for DNA adsorption on mica we followed our previously described set up (28,40). The whole experimental procedure consisted of several steps: treatment of PDMS slits with plasma stream (to make them hydrophilic), their deposition on a mica surface, DNA diffusion inside the slits, DNA adsorption on mica surface and finally AFM imaging (schematically represented in Figure 2). The approach was complementary to the ones previously used (28,40), in that the AFM probes offered an unprecedented resolution of single DNA conformations inside the slits. There are clear differences between our present ex-



**Figure 3.** Large scale AFM images of three types of circular DNA (2.7, 5.4 and 8.4 kb) versus slit size. Left column shows control measurements; middle column shows DNA inside 10  $\mu\text{m}$  giant slits; right column shows DNA inside 600 nm small slits. Transparent rectangles represent places where the slits were placed. DNA molecules outside the slits were not included in the statistics. Scale bar: 1  $\mu\text{m}$ .

perimental procedure and the previously published methods to evidence confinement effect. There is no need for using either an external electrical field (6,17,41), or a pressurized flow cell to ‘pull’ the DNA inside the slits. The DNA translocation was based on the Laplace pressure and the DNA diffusion inside hydrophilic PDMS slits. Although the AFM measurements could only be taken after the confining matrix was removed (42–44), the biggest advantage though was the use of non-stained DNA in our studies. DNA properties such as the charge, contour length and the persistence length can be significantly affected upon binding of fluorescent dyes (45–47).

#### The role of DNA size for confinement: 2.7–8.4kb DNA

Our first experiments were performed on 2.7 kb nicked circular DNA. We measured different shape parameters, such as the persistence length, the asphericity ( $A_2$ ) of molecules trapped inside giant (i.e. width, 10  $\mu\text{m}$ ) and small slits (600 nm width) and then compared them to the values of unconstrained molecules outside the slits. As expected, for the smallest 2.7 kb DNA, the statistical parameters for control and trapped molecules did not differ. The 2D measured average major axis for 2.7 kb DNA was  $a_{2.7\text{ kb}} = 265 \pm 25\text{ nm}$ , whereas the confining dimensions of slits were much larger. Even the smallest 600 nm slits were too large for DNA to

experience any confinement (Figure 3 and Supplementary Figure S2). The effective persistence length for 2.7 kb DNA outside the slits was  $\sim 50\text{ nm}$  (Table 2), in very good agreement with the expected value (28,40). All above mentioned results confirmed that, there was no confinement effect of slits on 2.7 kb DNA. Molecules could freely diffuse inside slits and adopt a relaxed, largely adsorbed, conformation (Supplementary Figure S3).

The results changed once we used the larger, 5.4 kb long, circular DNA whose 2D average major axis is  $a_{5.4\text{ kb}} = 450 \pm 30\text{ nm}$ . The morphology of the DNA, was clearly affected inside the 600 nm slits, as clearly seen on Figure 3 and in the zoomed images on Figure 4. The change in the global shape of the molecules resulted in higher values for the effective persistence length and the asphericity ( $A_2$ ). The closer the value of asphericity is to 0 the more circular the object is, whereas if  $A_2 = 1$ , the object is linear. For 5.4 kb circular DNA, the value changed from  $A_{2,\text{cont}} = 0.27 \pm 0.03$  to  $A_{2,600\text{ nm}} = 0.34 \pm 0.03$ , where  $A_{2,\text{cont}}$  is the asphericity for control and  $A_{2,600\text{ nm}}$  for 5.4 kb DNA confined inside 600 nm slits.

The molecules inside small slits adopted much more elongated shapes compared to those inside giant slits or the control ones, thus revealing the effects of spatial confinement. The shape of the correlation function for molecules



Table 2. Experimentally measured quantities of nicked circular DNA of various sizes

Sample	<i>N</i>	<i>L</i> <sub>contour</sub> (nm)	<i>l<sub>p</sub></i> (nm)	Asphericity <i>A</i> <sub>2</sub>	<i>a</i> (nm)	<i>b</i> (nm)
2.7 kb nicked ( <i>R<sub>g</sub></i> = 110 ± 10 nm)						
Control	70	800 ± 30	50 ± 5	0.33 ± 0.02	265	135
10 μm slits	100	840 ± 30	54 ± 5	0.30 ± 0.02	273	150
600 nm slits	50	820 ± 20	51 ± 5	0.32 ± 0.03	271	147
5.4 kb nicked ( <i>R<sub>g</sub></i> = 190 ± 15 nm)						
Control	60	1560 ± 50	63 ± 5	0.27 ± 0.03	449	258
10 μm slits	45	1520 ± 100	64 ± 5	0.27 ± 0.03	434	254
600 nm slits	50	1490 ± 120	72 ± 5	0.34 ± 0.03	450	235
Simulated 5.4 kb ( <i>R<sub>g</sub></i> = 205 nm)						
<i>H</i> = 1500 nm			<i>l<sub>p</sub></i> = 50	0.25	245	150
<i>H</i> = 140 nm & <i>W</i> = 600 nm			<i>l<sub>p</sub></i> = 50	0.29	243	137
8.4 kb nicked ( <i>R<sub>g</sub></i> = 250 ± 40 nm)						
Control	65	2370 ± 120	75 ± 5	0.36 ± 0.02	610	310
10 μm slits	55	2430 ± 90	77 ± 5	0.36 ± 0.02	635	320
600 nm slits	40	2410 ± 100	73 ± 5	0.47 ± 0.03	620	255

*N* is the number of molecules included in the averages, *L* the average contour length measured by AFM, *l<sub>p</sub>* the effective persistence length, *A*<sub>2</sub> the asphericity, while *a* and *b* are the average major and minor axis of the ellipse fitting the molecular trajectory.

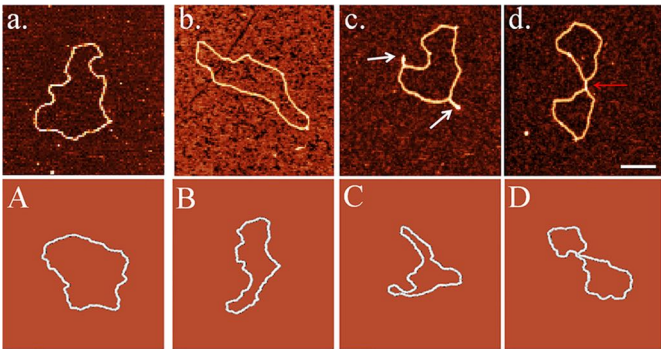


Figure 4. Snapshots of 5.4 kb DNA in experiment (top panels) and simulations (bottom panels): (A) control, (B) elongated, (C) hairpins (indicated by white arrows), (D) Interwound (indicated by red arrow). Evidently no hairpins were observed for simulations. Scale bar 100 nm.

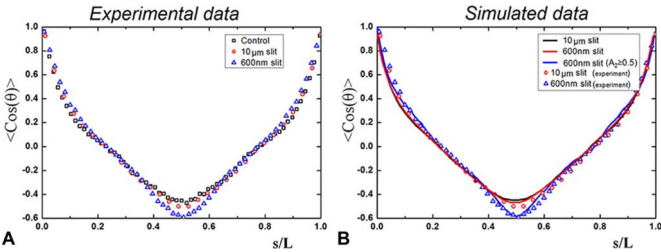


Figure 5. (A) Experimentally measured bond correlation function of 5.4 kb circular DNA for control (black squares), Inside giant slits (red spheres) and inside small slits (blue triangles). (B) Experimental versus calculated bond correlation function for 5.4 kb circular DNA. Here, we show the effect of *A*<sub>2</sub> on the bond-bond correlation function for chains in small slits. As the bond-bond correlation function is computed for the set of configurations with *A*<sub>2</sub> ≥ 0.5, the agreement with the experimental data is much better (experimental data blue triangles and simulated data continuous blue line).

inside small slits (Figure 5A) changed too. Once the DNA migrated and relaxed inside the slits, the initial part of the correlation function decayed more slowly, indicating longer correlated DNA segments and hence larger persistence lengths. The minimum of the correlation function was also lower, compared to the correlation function of non-confined DNA (Figure 5A). The initial value of the effective persistence length *l<sub>p</sub>* = 63 ± 2 nm increased by as much as

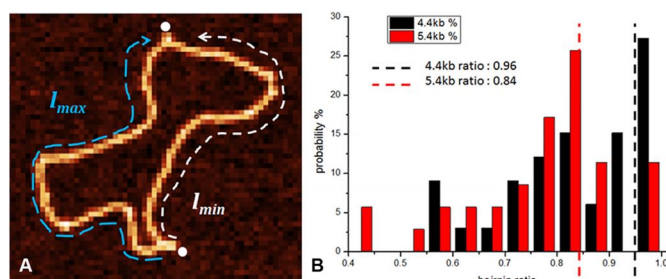
~15%, reaching the value *l<sub>p</sub>* = 72 ± 2 nm (Table 2). All of the measurements suggesting that 5.4 kb circular DNA experienced mild lateral confinement once translocated inside 600 nm slits but not inside the 10 μm slits.

The most significant effect though was measured for 8.4 kb DNA trapped inside the 600 nm slits (2D *a*<sub>8.4 kb</sub> = 610 ± 80 nm). Confined molecules adopted strongly anisotropic shapes with many self-crossings (Figure 3 and Supplementary Figure S4). The measured asphericity increased from *A*<sub>2,cont</sub> = 0.36 ± 0.02 to *A*<sub>2,600 nm</sub> = 0.47 ± 0.03 (Table 2). We also observed a tendency for the molecules to orient inside the slits, compared to the random orientation outside the slits (Supplementary Figure S5). The shape of the bond-bond correlation was also affected by the global confinement acting on single molecules. Our measurements indicated that 8.4 kb circular DNA experienced strong lateral confinement inside the 600 nm slits, which resulted in detectable changes of physical properties, such as the bond orientation correlation function (Supplementary Figure S6).

Interestingly the persistence length of the largest molecules was not affected by the confinement. Even for the confined sample, it was comparable to the value obtained for control sample ~*l<sub>p</sub>* = 75 nm (Table 2). We noticed that the persistence length was increasing with DNA contour length. We believe that this correlation can be explained by a partial B-form to A-form DNA conformational change observed previously for linear DNA deposited with low concentrations of divalent cations (48,49). The A-form DNA is more compact and has a higher persistence length (50). This also explains why shorter (than expected for the B-form DNA) contour lengths of the molecules are estimated (Supplementary Table S1).

Computer simulations

To better understand the processes governing the DNA confinement we performed computer simulations on the equilibrium properties of a model of circular DNA adsorbed on a surface and confined within slits of various dimensions. In Figure 5 the bond-bond correlation function of the 5.4 kb DNA rings measured experimentally are compared with the corresponding estimates based on the simulations of semi-flexible rings of the same length and with persistence



**Figure 6.** (A) Defining DNA hairpin ratio by measuring the ratio between the minimal and the maximal arc length along the DNA between two hairpins. (B) The hairpin arc ratio distribution for 4.4 kb (black bars) and 5.4 kb (red bars) nicked DNA. Red and black dotted lines represent the positions of the nicked sites.

length  $l_p = 60$  nm. A similar comparison for unconstrained DNA rings of length 2.7 and 5.4 kb is reported in Supplementary Figure S2, Figure S3 and Figure S5 in Supplementary data. The good agreement observed for both lengths either for giant (10  $\mu$ m, red circles) or small (600 nm, blue triangles) slits suggests that the experimental adsorption process of DNA on mica is an equilibrium one. Moreover, the configurations are strongly adsorbed on the surface with practically no excursions along the  $z$  (i.e. slit height) direction. This explains why they are weakly affected by the confinement along  $z$ , even for the smallest slit height considered ( $H_{\text{slit}} = 140$  nm). Hence, the main physical parameter affecting the DNA conformational properties is the width of the slits. Once the average extension of unconstrained DNA rings were comparable to the slits' width, confinement along this direction can be important and affect the shape of the adsorbed molecules.

It is crucial to notice that, in order to achieve the best agreement between experimental and numerical data analysis had to be restricted to sampled configurations with a sufficiently high anisotropy. In particular if we restrict the computation of the bond-bond correlation function to the subset of sampled rings with asphericity  $A_2 \geq 0.5$  agreement with the experimental data is extremely good (see blue curve in Figure 5B).

### DNA hairpins and interwound regions

To understand why 5.4 and 8.4 kb DNA configurations adsorbed on mica and confined within small slits are more anisotropic than expected by equilibrium considerations, we looked more closely at the conformational properties of individual rings. The high resolution of AFM enabled us to observe nanometer long defects in the forms of hairpins (Figure 4C) and interwound structures (Figure 4D) for circular DNA of sizes from 4.4 up to 8.4 kb. These nanometer size structures are clearly influencing the average values of the DNA shape parameters, resulting in more anisotropic conformations (Supplementary Figure S8). AFM enabled us to characterize in detail the relative position, the length and the frequency of the hairpins along the circular DNA (Table 3 and Figure 6).

A way to quantify the relative position of the hairpins along the ring backbone is to compute the ratio between the contour lengths of the minimal (white dotted line) and

the maximal arc (light blue dotted line) delimited by two hairpins located along the DNA backbone (see Figure 6A):

$$\text{Hairpin arc ratio} = \frac{l_{\min}}{l_{\max}} \quad (3)$$

When we analysed the positions of the hairpins along the 5.4 kb DNA, we inferred that they were located in proximity of the nicked sites of the molecule. To confirm this hypothesis, we repeated the experiments with a molecule of comparable size, 4.4 kb DNA, which had nicked sites at different positions with respect to the 5.4 kb DNA. We measured the distributions of the arc length for both 4.4 and 5.4 kb and observed a clear difference between them. The arc ratio was clearly dependent on the construct used; agreeing well with the theoretically predicted position of the nicked sites (Figure 6B).

Next, we measured the linear length of the DNA hairpins ( $\langle \text{Length } L_h \rangle$  in Table 3). The length of the hairpins was slightly increasing with the longer DNA size, ranging from  $50 \pm 25$  nm for 4.4 kb DNA up to  $70 \pm 35$  nm for 8.4 kb DNA. Interestingly, despite this increase, the relative amount of the total DNA length stored in these structures was little affected, being between 6 and 9% of the total contour length (*Total DNA stored* in Table 3).

Next, we computed the hairpin occurrence probability for the confined molecules. To do so, we calculated the number of molecules having at least one hairpin relative to the total number of molecules (*Hairpin Frequency* in Table 3). For control, unconfined molecules the frequency of the hairpins was always below 15%, whereas for confined molecules the value increased significantly (Table 3), reaching 90% for 5.4 kb sized DNA. Interestingly, nicked DNA molecules which had hairpins, on average showed  $\sim 2$  hairpins per DNA structure. In order to test the influence of the single strand breaks on the formation of hairpins, we repeated the experiments with non-nicked DNA (Supplementary Figure S9). Re-ligating the nicked sites in the DNA resulted in strong decrease in the hairpin frequency as well as the hairpin length. When we repeated the experiment with re-ligated 5.4 kb DNA (Table 3), the frequency of the hairpins dropped 6-fold compared to the nicked molecules and the total length of the DNA stored inside the hairpin decreased by  $\sim 40\%$ . The average number of hairpins per DNA molecules (which had hairpins) also decreased, meaning that non-nicked molecules on average had only one hairpin/DNA. For the smallest confined 2.7 kb DNA, we did not observe any significant number of hairpins ( $< 10\%$ ), once again indicating no lateral confinement for these small molecules (Supplementary Figure S10). We observed similar tendency for the interwound regions, forming more frequently for larger confined molecules (up to 60% for 8.4 kb DNA). Similarly as for the hairpins, re-ligating the DNA nicked sites was significantly decreasing the total length as well as the frequency of the observed structures.

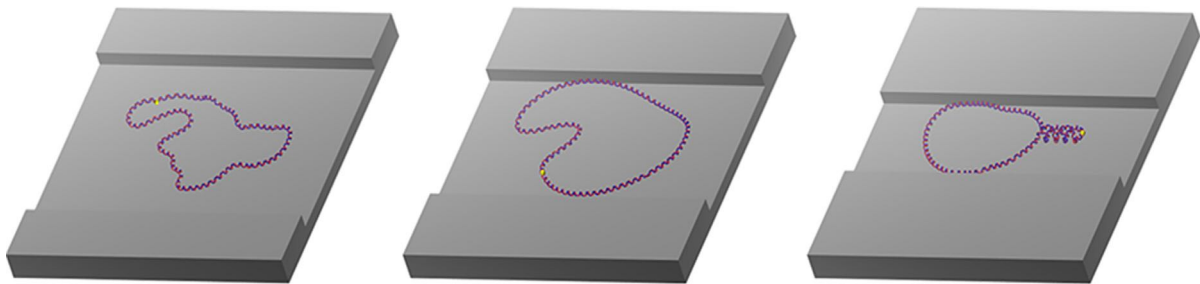
### DISCUSSION

In this work, we describe a new experimental method for studying the effects of spatial confinement on the configurational and metric properties of DNA. By combining atomic

**Table 3.** Characteristics of DNA hairpins and interwound structures formed in the small slits

Hairpins							
DNA	N	<Length $L_h$ (nm)>	Total DNA stored	Theoretical hairpin arc ratio	<Experimental arc ratio>	< $N_{\text{Hairpin}}/\text{DNA}$ >	Hairpin frequency (%)
4.4 kb nicked	45	50 ± 25 nm	8%	0.96	0.85	1.8	70%
5.4 kb nicked	50	65 ± 25 nm	9%	0.84	0.77	2.0	90%
5.4 kb non-nicked	25	40 ± 20 nm	5%	/	/	1.2	15%
8.4 kb nicked	20	70 ± 35 nm	6%	/	/	1.6	50%
8.4 kb non-nicked	20	60 ± 25 nm	5%	/	/	1.05	20%
Interwound							
DNA	N	<Length $L_i$ (nm)>	Total DNA stored	< $N_{\text{Interwound}}/\text{DNA}$ >		Interwound frequency (%)	
4.4 kb nicked	20	65 ± 30 nm	10%	1.1		15%	
5.4kb nicked	20	115 ± 65 nm	15%	1.2		25%	
5.4 kb non-nicked	20	65 ± 30 nm	9%	1.1		20%	
8.4 kb nicked	30	90 ± 40 nm	7%	1.7		60%	
8.4 kb non-nicked	25	55 ± 25 nm	5%	1.3		25%	

N is the number of molecules included in the averages, <Length  $L_h$ > and <Length  $L_i$ > are respectively the average contour length of the hairpin and interwound structures measured by AFM, Total DNA stored is the percentage of the DNA contour length stored in the hairpin or interwound structures, Theoretical arc ratio is the theoretical ratio between the contour lengths of the minimal and the maximal arc along the circular DNA backbone between two nicked sites, <Experimental arc ratio> is the average ratio between the contour lengths of the minimal and the maximal arc along the circular DNA backbone delimited by two hairpins measured by AFM, < $N_{\text{Hairpin}}/\text{DNA}$ > and < $N_{\text{Interwound}}/\text{DNA}$ > is respectively the average number of hairpins and interwound structures per DNA molecule which had hairpins, Hairpin and Interwound Frequency is respectively the frequency of hairpins and interwound structures among all measured DNA.



**Figure 7.** Schematic representation of DNA molecules under various levels of confinements with nicked region represented as a yellow dot. From left to right: no confinement, weak confinement and strong confinement. Under strong confinement nicked sites in the DNA is co-localized with DNA hairpin.

force microscopy with conventional micro and nanofabrication tools, we show that it is possible to image and study individual DNA molecules subject to spatial confinement with nanometer resolution. The DNA profiling method introduced here, requires a very small amount of sample and does not rely on DNA staining. This gives us the possibility to study DNA molecules of various sizes, topology and shape, by directly visualizing them. We believe that the possibility to easily fabricate confining structures of tuneable dimensions opens new possibilities for experimental studies, which will shed new insights on biopolymers behavior under confinement.

We performed experiments with various sized circular DNA and observed quasi-2D confinement for the 5.4 and 8.4 kb DNA rings when translocated inside the 600 nm slits. Our experimental findings were supported by molecular dynamics simulations, capturing well the change in the bond-bond correlation function and the tendency for DNA rings to have higher anisotropy. With the help of simulations, we also revealed that the molecules could be well described by an equivalent model of DNA in equilibrium on an attractive surface in the presence of mild lateral confinement.

Most importantly, we observed nanometer sized local defects in the DNA molecules subject to the strongest slit confinement. These defects had direct bearing on the anisotropy of the rings, with their location overlapping with nicked regions in the DNA sequence. Strikingly, the presence of these hairpins was directly linked to the degree of DNA confinement. For non-constrained nicked

molecules the hairpin frequency was typically below ten percent (<10%), whereas for confined nicked molecules it reached up to ninety percent (~90%). Our results are consistent with previously reported data on simulated DNA molecules in crowded or confined space (51–53), showing structural defects in the form of inter-winding of the DNA duplex. To our knowledge, the possibility that spatial confinement can induce the formation of hairpins in nicked circular DNA has not been previously reported. We surmise that these effects could have relevant implications for the *in vivo* processing of DNA. In fact, early detection of nicked sites in the genome is crucially important for genome stability and the proper functioning of repair mechanism (29,30,54). Previously, it has been observed, that DNA defects in the form of hairpins can interfere with DNA transcription by stalling the transcription machinery (32) and have a regulatory influence. Considering that in living bacteria, the DNA is highly confined in space with the help of condensing agents, proteins and high levels of supercoiling (13,14), we propose that the quasi-2D confinement helps expose thermodynamically weak positions by forming hairpins and interwound regions (Figure 7), which themselves can act as structural markers for different biological processes (29,31–33,55).

SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.



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